

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	
	)	
Barry Barton	)	Group Art Unit: 3692
	)	
Application Serial No.: 09/391,460	)	Examiner: Frantzy Poinvil
	)	
Filed: September 8, 1999	)	Confirmation No.: 8639

For: FINANCIAL ADVICE STRATEGY SYSTEM

SUBMISSION OF ISSUE FEE AND COMMUNICATION TO EXAMINER

MAIL STOP ISSUE FEE  
U.S. Patent and Trademark Office, Alexandria, Virginia

Sir:

SUBMISSION OF ISSUE FEE

In accordance with the Notice of Allowance mailed August 20, 2007, Applicant submits herewith the Issue Fee Transmittal. Please charge the undersigned's Deposit Account No. 50-0206 in the amount of **\$1,440.00** for the issue fee.

COMMUNICATION TO EXAMINER

In a telephone conference with Examiner Poinvil on November 19, 2007, as well as in prior communications, Applicant conveyed that all of the art cited in an **Information Disclosure Statement** (IDS) filed on March 21, 2000 was not initialed as considered, for purposes of a complete record. In the telephone conference, Examiner Poinvil indicated he would reflect such art as considered, and forward Applicant an initialed Form PTO-1449. Herewith, Applicant submits a copy of the filed IDS with PTO-1449, along with the stamped post card that accompanied such filing. On the PTO-1449, the documents marked with an \* are the documents that have not been reflected in the record as considered.

FORMAL DRAWINGS

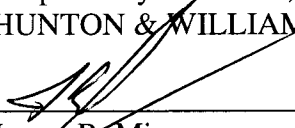
**Formal drawings** were submitted on July 29, 2002. Such drawings were submitted in response to objections set forth in the March 27, 2002 Office Action. **Accordingly, Applicant requests that such formal drawings appear in the published Patent.**

Please charge any deficiency or credit any overpayment due with this correspondence to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,  
HUNTON & WILLIAMS

Dated: **November 20, 2007**

By: \_\_\_\_\_

  
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